JC03 Rec'd PCT/PTO 28 SEP 2005

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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A 2

TRANSMITTAL LETTER TO THE UNITED STATES			ATTORNEY'S DOCKET NUMBER 3081.124US01				
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, sep. 37 CFR 1.5)				
	DNAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
PCT/EP200	4/002388	09 March 2004	28 March 2003				
	TITLE OF INVENTION ASSEMBLY FOR ILLUMINATING OBJECTS WITH LIGHT OF DIFFERENT WAVELENGTHS						
APPLICANT(S) FOR DO/EO/US Peter WESTPHAL, Daniel BUBLITZ							
		ates Designated/Elected Office (DO/EC	/US) the following items and other information:				
1. 🗹 Th	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.						
2. 🗖 Th	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4. 🗹 Th	The US has been elected (Article 31).						
5. 🗹 A	A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
•	a. is attached hereto (required only if not communicated by the International Bureau).						
1	b. An as been communicated by the International Bureau.						
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).						
6. 🗹 /	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
,	a. is attached hereto.						
	b. has been previously submitted under 35 U.S.C. 154(d)(4).						
7. 🗹 ,	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
	a. are attached hereto (required only if not communicated by the International Bureau).						
	b. have been communicated	by the International Bureau.					
	c. have not been made; howe	ever, the time limit for making such amendm	nents has NOT expired.				
	d. have not been made and v	vill not be made.					
8.	An English language translation of th	e amendments to the claims under PCT Art	icle 19 (35 U.S.C. 371(c)(3)).				
9. 🗹 /	An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)).					
	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	xamination Report under PCT				
Items 1	1 to 20 below concern document(s	) or information included:					
11. 🗹 📝	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.					
12. 🔲 📝	An assignment document for recordin	g. A separate cover sheet in compliance wi	th 37 CFR 3.28 and 3.31 is included.				
13. 🖳 📝	A preliminary amendment.						
14. 🔲 ,	An Application Data Sheet under 37 C	CFR 1.76.					
15. 🔲 /	A substitute specification.						
16. 🔲 /	A power of attorney and/or change of	address letter.					
17.	A computer-readable form of the sequ	uence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.				
18.	A second copy of the published Intern	national Application under 35 U.S.C. 154(d)(	(4).				
19. 🔲 📝	A second copy of the English languag	ge translation of the international application	under 35 U.S.C. 154(d)(4).				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER 3081.124US01			
	107	77126	PC1/EF2004/002388		3081.1240301			
20. Other	20. Other items or information:							
Copy of front p	age of PCT publis	hed application \	WO 2004/086117 A1; copies of	following search repo	orts: International Search	Report for		
application no. PCT/EP2004/002388 and German Search Report for application no. 103 14 125.1; Return Postcard.								
The fell	owing fees have b	an autoritted			CALCULATIONS	PTO USE ONLY		
	•			\$300	\$	PTO USE ONET		
					300.00	<u> </u>		
_	nination fee (37 CF				œ			
			mational preliminary examinations of PCT Article 33(1)-(4)		\$ 200.00			
	rch fee (37 CFR 1.		!!::	ant around his				
IPEA/US	indicates all claim	s satisfy provision	nal preliminary examination repons of PCT Article 33(1)-(4)	\$0	\$ 400.00			
Internation	onal Searching Au	lhority	the international application to t	\$100	Ψ			
			er than the US and provided to					
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	TOTAL OF 21, 22		· · · · · · · · · · · · · · · · · · ·	: 	\$900.00			
			ed in paper over 100 sheets (ex t 1.821(c) or (e) or computer pr					
	c medium) (37 CF		s of paper or fraction thereof.					
Total Sheets	Extra Sheets			RATE				
Total Sneets	Extra Sneets		additional 50 or fraction  up to a whole number)	RAIE				
12 - 100 =	0 /50 =	-		x \$250	\$ 0.00	<u>-</u>		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$			
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$			
Total claims	16	- 20 =	0	x \$ 50	\$ 0.00			
Independent clai	ms 1	- 3 =	0	× \$200	\$ 0.00			
MULTIPLE DEPI	ENDENT CLAIM(S	i) (if applicable)		+ \$360	\$			
			TOTAL OF ABOVE		\$ 900.00			
Applicant cla	ims small entity st	atus. See 37 CF	R 1.27. Fees above are reduce					
December 1	£ #400 00 f	-L1 AL - P	A	SUBTOTAL =	\$ 900.00	L		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$					
TOTAL NATIONAL FEE =			\$ 900.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$				
TOTAL FEES ENCLOSED =				\$ 900.00				
					Amount to be refunded:	\$		
					Amount to be charged	\$		

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a. 🔽	A check in the amount of \$ 900.00 to cover the a	above fees is enclosed.			
b. 🔲	Please charge my Deposit Account No in the amount A duplicate copy of this sheet is enclosed.	of \$ to cover the above fees.			
c. 🔽	The Commissioner is hereby authorized to charge any additional fees Account No. 16-0631 . A duplicate copy of this sheet is enclosed	which may be required, or credit any overpayment to Deposit			
d. 🗖	Fees are to be charged to a credit card. WARNING: Information on the be included on this form. Provide credit card information and author				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND A	LL CORRESPONDENCE TO:	DouglesCale			
	s J. CHRISTENSEN	SIGNATURE			
	on, Thuente, Skaar & Christensen, P.A. DS Center	Douglas J. Christensen			
	th 8th Street	NAME			
Minneapolis, Minnesota 55402		35,480			
		REGISTRATION NUMBER			
•					
•					
•	•				

10/551265

### JC12 Rec'd PCT/FTC 28 SEP 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PCT RECEIVING OFFICE (RO/US)

In re the application of:

Attorney Docket No.: 3081.124US01

Peter Westphal et al.

Application No.:

Unknown

Filed:

Of Even Date

For:

ASSEMBLY FOR ILLUMINATING OBJECTS WITH LIGHT OF DIFFERENT

**WAVELENGTHS** 

#### SUBMISSION OF DOCUMENTS

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Enclosed for filing in the above-identified application are the following documents:

- 1. Certificate of Express Mailing;
- 2. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (3 pgs.);
- 3. English translation of patent application with certification (11 pgs.);
- 4. Copy of front page of PCT Publication No. WO 2004/086117 A1 (2 pgs.);
- 5. Declaration and Power of Attorney for Patent Application (3 pgs.);
- 6. Preliminary Amendment (8 pgs.);
- 7. Information Disclosure Statement (2 pgs.), PTO Form 1449 (1 pg.) and copies of 5 cited references;
- 8. Copy of International Search Report (4 pgs.) and German Search Report (2 pgs.);
- 9. Check in the amount of \$900.00; and
- 10. Return Postcard.

Respectfully submitted,

Douglas J. Christensen Registration No. 35,480

Patterson, Thuente, Skaar & Christensen, P.A. 4800 IDS Center, 80 South Eighth Street Minneapolis, Minnesota 55402-2100 (612) 349-3001

#### CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number EV616920025US. Date of Deposit: September 28, 2005. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mai Lee Vang Name of Person Making Deposit

Signature

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# MERRILL BRINK INTERNATIONAL Language Solutions

Julie Erickson Patterson, Thuente, Skaar & Christensen 4800 IDS Center 80 South 8th Street Minneapolis, MN 55402

Dear Ms Thorndike,

Enclosed please find the completed translation from German to English of the patent application, "Arrangement for illuminating objects with light or different wavelengths", as well as the corresponding Letters of Certification. Please do not hesitate to contact me with any questions or concerns.

Sincerely Yours,

Bridget Freed Project Manager Merrill Brink International 6100 Golden Valley Road Minneapolis, MN 55422 763-582-0104 10/551265
MERRILL BRINK
INTERNATIONAL
JC12 Rec'd PCT/FTC 28 SEP 2005

State of Minnesota	)	
	)	ss:
County of Hennepin	)	

#### **Certificate of Accuracy**

This is to certify that the attached patent application titled, "Arrangement for illuminating objects with light or different wavelengths", originally written in German is, to the best of our knowledge and belief, a true, accurate and complete translation into English.

Dated: July 26, 2005

Bridget Freed Project Manager

Merrill Brink International

Sworn to and signed before

Me this <u>Sloth</u> day of , 2005

**Notary Public** 

